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Approved for use through 10/31/2002. OMB 0651-0031

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TD 4 NG		PER	Applic	ation Number	09/991,3	79			
IRANS	SMITTAL	- 0000	Filing	Date	Novembe	er 15, 2001			
FC	ORM MAI	3 2002	Eirst 1	lamed Inventor	Mascava	ge, John Joseph			
(to be used for all corres	spondence ater in	itial filing)	Group	Art Unit	2161				
	CAT.	TRADEMAN	Exami	ner Name					
Total Number of Pages in	n This Submission	15	Attorn	ey Docket Number	020375-002710US				
ENCLOSURES (check all that apply)									
Fee Transmittal Forr	m	Assigni (for an A	ment Pap Application		After A	Allowance Communication to			
Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Respo	onse		_	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final				Slip (PTO/SB/69) ring Petition	Proprietary Information				
Affidavits/declara	ation(s)	_	to Conv onal App		Status Letter				
Extension of Time R	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):					
Express Abandonme	☐ Terminal Disclaimer ☐ Request for Refund			Request to Correct Filing Receipt					
Information Disclosu	CD, Number of CD(s)			Return Po	stcard				
Certified Copy of Pric	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.				charge any additional fees to				
Response to Missing Incomplete Application									
Response to Missing Parts under 37 CFR 1.52 or 1.53									
	SIGNA	TURE OF A	PPLIC	ANT, ATTORNEY, OF	AGENT				
	ownsend and Tov				AOLIN				
and T Individual name	I Inoma s D. I s anklin Reg No. 43.616								
Signature	, XX	9	·						
Date March 4, 2002									
CERTIFICATE OF MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date. March 4, 2002									
Typed or printed name	Cindy Bennett		//	/ //					
Signature	1 will) G	EAU	K.	Date	March 4, 2002			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you apprequired to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7063998 v1

PTO/SB/17 (09-00)
Approved through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TOTAL AMOUNT OF PAYMENT

MAR 1 3 2002

Patent fees are subject to annual Sylsion.

Complete if Known

Application Number 09/991,379

Filing Date November 15, 2001

First Named Inventor Mascavage, John Joseph

Examiner Name

Group Art Unit 2161

Attorney Docket No. 020375-002710US

METHOD OF PAYMENT				FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			3. ADDITIONAL FEES						
1	indicated fees and credit ar	y over payments to:		Large	Entity	Small	Entity		
Deposit				Fee	Fee	Fee	Fee	Fee Description	Fee
Account	20-1430			Code	(\$)	Code	(\$)	•	Paid
Number				105 127	130	205	65	Surcharge - late filing fee or oath	130.00
Deposit [Denosit				50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP				139	130	139	130	Non-English specification	
Name [147	2,520	147	2,520	For filing a request for reexamination	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant cla See 37 CFF	aims small entity status. R 1.27			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment	Enclosed:			115	110	215	55	Extension for reply within first month	110.00
				116	400	216	200	Extension for reply within second	
☐ Check [☐ Credit card ☐ Mor Ord							month	
	FEE CALCULATION			117	920	217	460	Extension for reply within third month	
1. BASIC FILII				118	1,440	218	720	Extension for reply within fourth month	
Large Entity Sn	nall Entity			128	1,960	228	980	Extension for reply within fifth month	
Fee Fee Fe	e Fee Fee Descrip	ion		119	320	219	160	Notice of Appeal	
Code (\$) Co	ode (\$)	Fee Paid	_	120	320	220	160	Filing a brief in support of an appeal	
101 740 20	1 370 Utility filing fe	e 740.00]	121	280	221	140	Request for oral hearing	
106 330 20 107 510 20	- 0		$ \cdot $	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 20			┥	140	110	240	55	Petition to revive – unavoidable	
114 160 21		·	┨ :	141	1,280	241	640	Petition to revive – unintentional	
110 214 00 1 TOTISIONAL MINING ICC			142	1.280	242	640	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$)740.00				143	460	243	230	Design issue fee	
				144	620	244	310	Plant issue fee	
2. EXTRA CLAIM I	FEES Extra	F (122	130	122	130	Petitions to the Commissioner	
Total Claims 20	Claims -20** = 0 X	Fee from Fee below Paid \$18 = \$0	ר	123	50	123	50	Petitions related to provisional applications	
Independent Claims 3	-3" = 0 X	\$84 = \$0		126	180	126	180	Submission of Information Disclosure	
Claims) ° - [° ^		╛╏					Stmt	
Multiple Dependent	x	=]	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
	Small Entity Fee Fee			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
	Code (\$) Fee Desc	iption		149	740	249	370	For each additional invention to be	
		excess of 20						examined (37 CFR § 1.129(b))	<u> </u>
	•	nt claims in excess of 3		179	740	279	370	Request for Continued Examination (RCE)	
104 280 2	· · · · · · · · · · · · · · · · · · ·	pendent claim, if not paid			900			•	
109 84 2	209 42 ** Reissue original pa	independent claims over tent	ependent claims over			169	900	Request for expedited examination of a design application	
110 18 2	210 9 ** Reissue over origin	claims in excess of 20 and all patent	t	Other fee (specify)					
				The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					·
			1	*Reduc	ed by Ba	sic Filing	g Fee Pa	eid SUBTOTAL (3) (\$)280.	00
**or number previously paid, if greater; For Reissues, see above								<u> </u>	

SUBMITTED BY	MITTED BY			Co	omplete (if applicable)	
lame (Print/Type)	(Print/Type) Thomas D. Franklin	Registration No. (Attorney/Agent)	43,616	Telephone	303-571-4000	
Signature	ture			Date	March 4, 2002	
signature	iture .			Date	March 4, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Attorney Docket No.: 020375-002710US

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

On _______March 4, 2002

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Joseph Mascavage III, et al.

Application No.: 09/991,379

Filed: November 15, 2001

For: Online Purchasing Method

Examiner:

Art Unit:

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

2161

PARTS

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated December 4, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
- 3) Petition to Extend Time
- 4) Assignment
- 5) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

(a) Filing Fee (§ 1.16(a)) (Large Entity)

\$740.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

PATENT

John Joseph Mascavage III, et al. Application No.: 09/991,379 Page 2

20 - 20 = 0

x \$18.00 =

\$0.00

3 - 3 = 0

x \$84.00 =

\$0.00

(c) Missing Parts Surcharge

\$130.00

TOTAL FEES TO BE CHARGED

\$870.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 415 576-0300

TDF:cmb

DE 7063972 v1



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/991,379 11/15/2001 John Joseph Mascavage

020375-002710US

CONFIRMATION NO. 2669

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO. CA 94111-3834

FORMALITIES LETTER

OC00000007152836

Date Mailed: 12/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 376 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 436.5৪৭০

A copy of this notice MUST be returned with the reply.

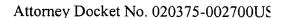
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/18/2002 BNGUYEN1 00000152 201430 09991379

01 FC:101 740.00 CH 02 FC:105 130.00 CH



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Assistant Commissioner for Patents Washington, D.C. 20231

on March 4, 2002

TOWNSEND and TOWNSEND and CREW LP

Cindy Bennett

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

FILING RECEIPT

2161

REQUEST FOR CORRECTED

In re application of:

John Joseph Mascavage III et al.

Application No.: 09/991,379

Filed: November 15, 2001

For: ONLINE PURCHASING

METHOD

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicants hereby request the following correction to the Filing Receipt in the above-identified application with a mailing date of December 4, 2001.

The first named Applicant should be John Joseph Mascavage, III (add III). Also, please change Applicant's entity status to Large Entity. For your convenience, a copy of the Filing Receipt with the corrections shown in red is attached.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

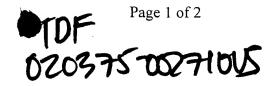
TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor

San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

TDF:cmb DE 7064008 v1





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/991,379	11/15/2001	2161	0.00	020375-	17	20	3.

REC'D

CONFIRMATION NO. 2669

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

DEC 1 1 2001

FILING RECEIPT

OC000000007152835

Date Mailed: 12/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you r ceived a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John Joseph Mascavage, III. John Joseph Mascavage, San Mateo, CA; Margaret Morgan Weichert, San Carlos, CA; Robert Edwin Dravenstott, San Mateo, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/516,209 02/29/2000

Foreign Applications

If Required, Foreign Filing License Granted 12/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

LARGE ** SMALL ENTITY **

Title

Online purchasing method

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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